

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.

1963/002

First Named Inventor or Application Identifier

Arthur Swanberg

Title

A COMPUTERIZED TRADING CARD  
SYSTEM

Express Mail Label No.

APPLICATION ELEMENTS

1. ☒ Filing Fee as calculated below.
2. ☒ Specification [Total Pages **[25]**  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Pages **[8]**
4. Oath or Declaration [Total Pages **[2]**
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☐ Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Applications  
Washington, D.C. 20231

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer readable copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies
8. ☐ Assignment papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☒ Small Entity ☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified copy of Priority Document(s)  
(if foreign priority is claimed)
14. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. /

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ correspondence address below

NAME

Pollock, Vande Sande & Amernick, R.L.L.P.

ADDRESS

Suite 800

1990 M Street, N.W.

CITY

Washington

STATE

DC

ZIP CODE

20036-3425

12/27/99

JC685 U.S. PRO

JC678 U.S. PRO  
09/472042

12/27/99

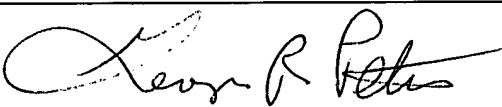
COUNTRY	U.S.A	TELEPHONE	(202) 331-7111	FAX	(202) 293-6229
---------	-------	-----------	----------------	-----	----------------

### Fee Calculation and Transmittal

(Col 1)		(Col 2)		(Col 3)	SMALL ENTITY		OR	NON-SMALL ENTITY	
	NO. FILED			NO. EXTRA	RATE	FEE		RATE	FEE
TOTAL	34	minus	20	= 14	x9=	\$126		x18=	\$
INDEP	5	minus	3	= 2	x39=	\$78		x78=	\$
First Presentation, Multiple Dependent Claims					+130=	\$		+260=	\$
Base Filing Fee						\$380			\$760
Other Fee (specify purpose)						\$			\$
TOTAL FILING FEE* (accounting for possible small entity status)						\$584	OR TOTAL		\$

- ☒ A check in the amount of \$584 to cover the filing fee is enclosed
- ☐ No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 22-0185 as described below. A duplicate copy of this sheet is enclosed.

- ☐ Charge the amount of \$ as filing fee
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 CFR § 1.16
- ☒ Charge any additional filing fees required under 37 CFR § 1.17

Name (Print/Type)	George R. Pettit	Registration No. (Attorney/Agent)	27,369
Signature			Date 12/27/99